Attorney Docket No.: 16869N-085900US

Client Reference No.: NT1201US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hiroshi MORIYA Kisho ASHIDA

Application No.:

Filed:

For: SEMICONDUCTOR LASER MODULE AND OPTICAL

TRANSMITTER

Examiner:

Art Unit:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Hiroshi MORIYA, Kisho ASHIDA Application No.: Page 2

Respectfully submitted,

J- husesc Joe Liebeschuetz Reg. No. 37,505

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PTO/SB/08A (04-03) Approved for use through 04/30/2003. OMB 0651-0031

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| | STATI | EMENT BY | APPLICAN' | First Named Inventor | MORIYA, Hiroshi, et. al. | | |
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| | (u | ise as many sheet | 's as necessary) | Examiner Name | | | |
| $\overline{}$ | Page | 1 | of 1 | Attorney Docket Number | 16869N-085900US | | |

| U.S. PATENT DOCUMENTS | | | | | | |
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| | BB | | | | | | |

| Examiner | Date |
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| Signature | Considered |

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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